

Security

Brett Nelson
Program Security Officer

Prepared for DSO Proposers Day

June 19, 2014





High Points

- Protection of your proposal and information is our upmost priority
 - Internal controls
 - DARPA federal employees are automatically bound by statute
 - DARPA SETAs complete legally binding Non Disclosure Agreements (NDA)
- We anticipate unclassified responses
 - We can accommodate all classification levels
- Fundamental Research
 - DARPA understands the importance of publication to and the multi-national demographic of our university partners. DARPA's fundamental research awards will be free from publication restrictions, export controls and citizenship requirements.
 - Restrictions only when absolutely necessary
- Please read the security section of the BAA



THE UNDER SECRETARY OF DEFENSE
3000 DEFENSE PENTAGON
WASHINGTON, DC 20301-3000

MAY 24 2010

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
ATTN: SERVICE ACQUISITION EXECUTIVES
CHAIRMAN OF THE JOINT CHIEFS OF STAFF
ATTN: COMMANDER, U.S. SPECIAL OPERATIONS
COMMAND
DIRECTORS OF THE DEFENSE AGENCIES

SUBJECT: Fundamental Research

References: (a) DoD Directive 5230.24, "Distribution Statements on Technical Documents," March 18, 1987
(b) DoD Instruction 5230.27, "Presentation of DoD-Related Scientific and Technical Papers at Meetings," October 6, 1987

The Department of Defense (DoD) fully supports free scientific exchanges and dissemination of research results to the maximum extent possible. Critical to enabling exchanges and dissemination is an understanding on the part of DoD acquisition personnel and the research community of the statutes, regulations, and policies governing restrictions that apply to the DoD on basic and applied research, recognizing the necessarily open nature of unclassified fundamental research. Understanding will help guide DoD acquisition personnel and contract and grant recipients in making plans and decisions that will affect performance of research under DoD awards and implementing measures that may be needed to comply with appropriate controls.

I have determined that additional clarifying guidance is required to ensure the DoD will not restrict disclosure of the results of fundamental research, as herein defined, unless such research efforts are classified for reasons of national security or as otherwise required by applicable federal statutes, regulations, or executive orders. This memorandum reinforces earlier guidance (Attachment A), addresses residual issues, and deals explicitly with additional facets of fundamental research. My intention is to ensure that the DoD grants, contracts, and negotiations with the research community for fundamental research are consistent across Components and fully compliant with National Security Decision Directive (NSDD) 189 (Attachment B).

NSDD 189 established the national policy for controlling the flow of scientific, technical, and engineering information produced in federally funded fundamental research at colleges, universities, and laboratories. The Directive defines fundamental research as follows:

"Fundamental research" means basic and applied research in science and engineering, the results of which ordinarily are published and shared broadly within the scientific community, as distinguished from proprietary research and from industrial development, design, production, and product utilization, the results of which ordinarily are restricted for proprietary or national security reasons."



High Points (continued)

- Is your proprietary information at Risk?
 - Regardless of classification
 - Criminal acts, Espionage, etc...
- Classified Proposals
 - Capture the actual cost of security in your proposal
 - Trained Security staff, Secure facilities, Field tests, Secure communications, Compliance and IT Systems
- **DARPA Security Conference – Atlanta April 2015**
 - Attendance: Support your security staff
 - Very little cost to your company with a ton of return on investment



Acronym & Policy Soup

National Industrial Security Program Operating Manual (NISPOM)

Basic Research

Department of Defense Policy

Fundamental Research

Export Control

For Official Use Only (FOUO)

Applied Research

Secret

National Policy

Law Enforcement Sensitive (LES)

Export Administration Regulations (EAR)

Confidential

International Traffic in Arms Regulation (ITAR)

Special Access Required (SAR)

Sensitive Compartmented Information (SCI)

Top Secret

Public Release Process



We are here to help guide you

National Industrial Security Program Operating Manual (NISPOM)

Basic Research

Department of Defense Policy

Fundamental Research

Export Control

Applied Research

For Official Use Only (FOUO)

Secret

National Policy

Law Enforcement Sensitive (LES)

Export Administration Regulations (EAR)

Confidential

International Traffic in Arms Regulation (ITAR)

Photo credit: Warner Bros.

Special Access Required (SAR)

Sensitive Compartmented Information (SCI)

Top Secret

Public Release Process